



An RBC™ Company

FEE SCHEDULE



WISE Local Equity Commission Schedule

All Sales Charges are quoted in TT Dollars and subject to change at any time:

Local Equity – Self-Directed Trades via TTSE Online Platform

Transaction Amount (TTD)	Commission Rate (TTD)
All	0.75% per trade

In addition, a Trinidad and Tobago Stock Exchange (TTSE) Charge of 0.21% per trade or minimum TTD\$2.10 will be applied.

Local Equity– Guided Trades

Transaction Amount (TTD)	Commission Rate (TTD)
Minimum Charge	\$175.00 per trade
\$0 to \$50,000	1.50% per trade
\$50,001 to \$100,000	1.25% per trade
\$100,001 & over	1.00% per trade

In addition, a TTSE Charge of 0.21% per trade or minimum TTD\$2.10 will be applied.

Trinidad & Tobago Central Depository Transaction Fees

Service	Fee (TTD)
Statutory Declaration	\$20.00
Indemnity Form (lost certificate)	\$25.00
Reissue Certificate	\$75.00
Confirmation for Embassy & Integrity Commission	\$50.00
Sixth Schedule Preparation	\$1,000.00 annually
Deposit	\$35.00 per security
Withdrawal	\$100.00 per security
Inter-Member Movement	\$35.00 per security
Intra-Member Movement	\$35.00 per security
Account Maintenance – amending shareholder information for name change, address, or contact information	\$10.00 per account

Other Charges

Service	Fee (TTD)
Opening new accounts for estate beneficiaries	\$200.00 per account

WISE International Equity Commission Schedule

All Sales Charges are quoted in US Dollars and subject to change at any time:

■ U.S. Equity – Self-Directed Trades via Netx Investor

Listed equities and Exchange Traded Funds (ETFs)

Transaction Amount (USD)	Commission Rate (USD)
\$0 - \$4,999	\$30 per trade
\$5,000 - \$19,999	\$75 per trade
\$20,000 & over	\$100 per trade

In addition, a Foreign Broker Charge of US\$4.35 will be applied to each trade.

■ U.S. Equity – Guided Trades

Listed equities and Exchange Traded Funds (ETFs)

Transaction Amount (USD)	Commission Rate (USD)
\$0 - \$99,999	\$30 + 0.75% per trade
> \$100,000	\$30 + 0.50% per trade

In addition, a Foreign Broker Charge of US\$4.35 will be applied to each trade.

■ Non-U.S. Equity

Other international equities, for example those purchased or sold on the London or the Toronto Stock Exchanges, will incur additional charges. Kindly contact your relationship manager for further details.

Transfers

Service	Fee (USD)
Wired Funds	\$10.00 per wire transfer
Overnight Cheque Delivery	\$25.00 per cheque
Legal, GNMA ¹ and Restricted Items	\$60.00 per transfer
Outgoing ACATs ²	\$65.00 per transfer
Accommodation Transfer	\$60.00 per transfer
Register & Ship Physical Certificates	\$60.00 per transfer
Direct Registration	\$15.00 per transfer

¹ Government National Mortgage Association

² Automated Customer Account Transfer

Options Trading

Platform	Commission Rate (USD)
Self-Directed Trades via Netx Investor	\$30 per trade plus \$1.50 per contract
Guided Trades	\$30 per trade plus \$2.50 per contract

Annual Account Maintenance Fee

Client Holdings (USD)	Annual Flat Fee (USD)
Less than \$10,000	\$40
\$10,001 - \$100,000	\$60
\$100,001 - \$500,000	\$100
\$500,001 - \$1,000,000	\$150
\$1,000,001 & over	\$200

Customised Investment Portfolios

- A management fee of 1.25% per annum of the market value of the portfolio is payable on a quarterly basis.
- All transaction fees are included.

Disclaimer

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