



An RBC™ Company

## FEE SCHEDULE



### WISE Local Equity Commission Schedule

All Sales Charges are quoted in TT Dollars and subject to change at any time:

#### Local Equity – Self-Directed Trades via TTSE Online Platform

Transaction Amount (TTD)	Commission Rate (TTD)
All	0.75% per trade

In addition, a Trinidad and Tobago Stock Exchange (TTSE) Charge of 0.21% per trade or minimum TTD\$2.10 will be applied.

#### Local Equity– Guided Trades

Transaction Amount (TTD)	Commission Rate (TTD)
Minimum Charge	\$175.00 per trade
\$0 to \$50,000	1.50% per trade
\$50,001 to \$100,000	1.25% per trade
\$100,001 & over	1.00% per trade

In addition, a TTSE Charge of 0.21% per trade.

#### Trinidad & Tobago Central Depository Transaction Fees

Service	Fee (TTD)
Statutory Declaration	\$20.00
Indemnity Form (lost certificate)	\$25.00
Reissue Certificate	\$75.00
Confirmation for Embassy & Integrity Commission	\$50.00
Sixth Schedule Preparation	\$1,000.00 annually
Deposit	\$35.00 per security
Withdrawal	\$100.00 per security
Inter-Member Movement	\$35.00 per security
Intra-Member Movement	\$35.00 per security

## WISE International Equity Commission Schedule

All Sales Charges are quoted in US Dollars and subject to change at any time:

### ■ U.S. Equity – Self-Directed Trades via Netx Investor

Listed equities and Exchange Traded Funds (ETFs)

Transaction Amount (USD)	Commission Rate (USD)
\$0 - \$4,999	\$30 per trade
\$5,000 - \$19,999	\$75 per trade
\$20,000 & over	\$100 per trade

In addition, a Foreign Broker Charge of US\$4.35 will be applied to each trade.

### ■ U.S. Equity – Guided Trades

Listed equities and Exchange Traded Funds (ETFs)

Transaction Amount (USD)	Commission Rate (USD)
\$0 - \$99,999	\$30 + 0.75% per trade
> \$100,000	\$30 + 0.50% per trade

In addition, a Foreign Broker Charge of US\$4.35 will be applied to each trade.

### ■ Non-U.S. Equity

Other international equities, for example those purchased or sold on the London or the Toronto Stock Exchanges, will incur additional charges. Kindly contact your relationship manager for further details.

## Transfers

Service	Fee (USD)
Wired Funds	\$10.00 per wire transfer
Overnight Cheque Delivery	\$25.00 per cheque
Legal, GNMA <sup>1</sup> and Restricted Items	\$60.00 per transfer
Outgoing ACATs <sup>2</sup>	\$65.00 per transfer
Accommodation Transfer	\$60.00 per transfer
Register & Ship Physical Certificates	\$60.00 per transfer
Direct Registration	\$15.00 per transfer

<sup>1</sup> Government National Mortgage Association

<sup>2</sup> Automated Customer Account Transfer

## Options Trading

Platform	Commission Rate (USD)
Self-Directed Trades via Netx Investor	\$30 per trade plus \$1.50 per contract
Guided Trades	\$30 per trade plus \$2.50 per contract

## Annual Account Maintenance Fee

Client Holdings (USD)	Annual Flat Fee (USD)
Less than \$10,000	\$40
\$10,001 - \$100,000	\$60
\$100,001 - \$500,000	\$100
\$500,001 - \$1,000,000	\$150
\$1,000,001 & over	\$200

## Customised Investment Portfolios

■ A management fee of 1.25% per annum of the market value of the portfolio is payable on a quarterly basis.

■ In addition, a Foreign Broker Charge of US\$4.35 will be applied to each trades.

## Disclaimer

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